

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

033794/280375

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)

10/500,756

INTERNATIONAL APPLICATION NO.

PCT/BR03/00001

INTERNATIONAL FILING DATE

January 3, 2003

PRIORITY DATE CLAIMED

January 4, 2002

TITLE OF INVENTION

AN OILY PROTECTIVE PIGMENT DISPERSION FOR PROTECTION AGAINST UV RADIATION, A PROCESS FOR PREPARING IT, AND A COSMETIC COMPOSITION

APPLICANT(S) FOR DO/EO/US

Karla Araújo, Nelson Luis Perassinoto, Vanessa Mendes Santos Cavalcanti, Antonio Cezar Brunelli Barrozo, Walter Albuquerque and Andréia Cano


Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). This submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected (Article 31).
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. To 20. below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A FIRST preliminary amendment.
- ☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information: Copy of Notification of Missing Requirements

10 Rec'd PCT 08 APR 2005

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.50) <b>10500,756</b>		INTERNATIONAL APPLICATION NO. .PCT/Br03/00001		ATTORNEY'S DOCKET NUMBER 033794/280375	
<input checked="" type="checkbox"/> The following fees have been submitted:					
21. <input checked="" type="checkbox"/> Basic national fee					
22. <input checked="" type="checkbox"/> Examination fee (If international preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1-4) ..... <b>\$100.00</b> All other situations..... <b>\$200.00</b>					
23. <input checked="" type="checkbox"/> Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority ..... <b>\$100</b> International Search Report prepared and provided to the Office ..... <b>\$400</b> All other situations ..... <b>\$500</b>					
<b>TOTAL OF 21, 22 and 23 =</b>					
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is <b>\$250.00</b> for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	Rate		
- 100 =	/50=		x <b>\$250.00</b>		
Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				<b>\$ 130.00</b>	
CLAIMS		NUMBER FILED	NUMBER EXTRA	RATE	
Total Claims		0 -20 =	0	X <b>\$ 50.00</b>	
Independent Claims		0 - 3 =	0	X <b>\$200.00</b>	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ <b>\$360.00</b>	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$ 130.00</b>	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.					
<b>SUBTOTAL =</b>				<b>\$ 130.00</b>	
Processing fee of <b>\$130.00</b> for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).					
<b>TOTAL NATIONAL FEE =</b>				<b>\$ 130.00</b>	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00</b> per property +				<b>\$ 40.00</b>	
<b>TOTAL FEES ENCLOSED =</b>				<b>\$ 170.00</b>	
04/ 3/2005 GFREY1 00000144 10500756 01 C:1617 130.00 OP				Amount to be Refunded	\$
				Amount to be Charged	\$
a. <input checked="" type="checkbox"/> A check in the amount of \$ 170.00 (\$130.00/\$40.00) to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. 16-0605 in the amount of \$_____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 16-0605. Note: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO: Jason P. Cooper  SIGNATURE REGISTRATION NUMBER 38,114 <b>ALSTON &amp; BIRD LLP</b> Bank of America Plaza 101 South Tryon Street, Suite 4000 Charlotte, NC 28280-4000 Tel Charlotte Office (704) 444-1000 Fax Charlotte Office (704) 444-1111 Customer Number 00826			"Express Mail" Mailing Label Number EV659484591US Date of Deposit: April 8, 2005  I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop PCT, Attn: DO/OSS (PTO) Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.  Sheila Hayes		

CLT01/4705356v1

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Alston &amp; Bird

JRC



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22304-1450  
 www.uspto.gov

Received By

U.S. APPLICATION NUMBER NO. 10/500,756	FIRST NAMED APPLICANT Karla Araujo	ATTY. DOCKET NO. 033794/280375
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INTERNATIONAL APPLICATION NO.

PCT/BR03/00001

LA. FILING DATE

PRIORITY DATE

01/03/2003

01/04/2002

00826

ALSTON &amp; BIRD LLP

BANK OF AMERICA PLAZA

101 SOUTH TRYON STREET, SUITE 4000

CHARLOTTE, NC 28280-4000

CONFIRMATION NO. 6840

371 FORMALITIES LETTER



\*OC000000015108198\*

Date Mailed: 02/09/2005

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 07/01/2004
- Copy of the International Search Report filed on 07/01/2004
- Copy of IPE Report filed on 07/01/2004
- Information Disclosure Statements filed on 07/01/2004
- Request for Immediate Examination filed on 07/01/2004
- Copy of references cited in ISR filed on 07/01/2004
- U.S. Basic National Fees filed on 07/01/2004
- Priority Documents filed on 07/01/2004

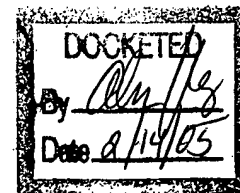
The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

## SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Late oath or declaration Surcharge.



ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE

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**DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

CHRISTINE S WASHINGTON

Telephone: (703) 308-9140 EXT 228

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/500,756	PCT/BR03/00001	033794/280375

FORM PCT/DO/EO/905 (371 Formalities Notice)

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